OPEN ACCESS POLITIK UND FÖRDERUNG DES SNF
AM ENABLE!-WERKSTATTGESPRÄCH VOM 14.03.2024

Regula Graf, Schweizerischer Nationalfonds
Measures at the SNSF since 2014

- **First OA policy**
  - 2014...

- **OA2020**

- **National Read&Publish Negotiations**
  - APCs via ChronosHub

- **Dedicated OA funding instruments (APC, BPC, BCPC)**

- **Communication**
  - cOAlition S
    - • Zero Embargo
    - • CC Licenses
  - OA „2021 And Beyond“
# Open Access obligation

All scholarly publications resulting from SNSF-funded research must be Open Access

<table>
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<tr>
<th>Articles</th>
<th>Books</th>
<th>Funding of the publication costs (APC, BCPC, BPC)</th>
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<tbody>
<tr>
<td>Gold road</td>
<td>Gold road</td>
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<tr>
<td>Green road (no embargo)</td>
<td>Green road (12 months embargo)</td>
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<td>Hybrid</td>
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<td>Diamond</td>
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Where we stand – OA share at the SNSF

- SNSF OA (gold, green, hybrid):
  - 2013-2015: 39%
  - 2014-2018: 48%
  - 2018-2019: 55%
  - 2020: 63%
  - 2021: 77%

- Other OA:
  - 2013-2015: 17%
  - 2014-2018: 19%
  - 2018-2019: 22%
  - 2020: 19%
  - 2021: 11%

- Restricted:
  - 2013-2015: 44%
  - 2014-2018: 33%
  - 2018-2019: 23%
  - 2020: 18%
  - 2021: 12%

Publications reported to the SNSF as resulting from its funding for the period 2013–2021. The data are available for download on the SNSF Data Portal. As the database is constantly growing, the results of previous OA monitorings are snapshots of when these monitorings were conducted.

https://data.snf.ch/stories/open-access-publications-monitoring-2021-en.html
SNSF OA Monitoring – Detailed results for 2021

76% Open Access

- Gold: 25% (2020), 28% (2021)
- Green: 14% (2020), 17% (2021)
- Hybrid: 25% (2020), 31% (2021)
- Other: 19% (2020), 11% (2021)
- Closed: 18% (2020), 13% (2021)

87% accessible online

16,053 peer-reviewed publications put out in 2021, sourced from Data Hub, Crossref, Dimensions on 24 January 2023.
Funding

- APC
- BCPC
- BPC
Article Processing Charges (APC)

Requirements

- The article must be the output of an SNSF-funded research project.
- The article must be published in a fully (Gold) open access journal with peer review.
- Applications can be submitted by grantees or by members of the project team. One of them must be mentioned as author.
- The article must include an acknowledgement of SNSF funding.
- Co-Funding: The SNSF funded at least 50% of the research on which the article is based.
Book Chapter Processing Charges (BCPC)

Requirements

- The book chapter must be the output of an SNSF-funded research project.
- The book chapter must be published in a peer-reviewed anthology.
- The book chapter must be published immediately OA. During an ongoing transition period, the book chapter may also appear in an anthology that is not entirely made freely available.
- Applications can be submitted by grantees or by members of the project team. At least one of these persons must be listed as an author.
- The funding by the SNSF must be mentioned in the publication (acknowledgement).
Book Processing Charges (BPC)

Type of books

- Monographs (incl. doctoral theses and habilitations, legal commentaries and editions)
- Anthologies

No funding for:
- textbooks
- special editions of journals
- conference proceedings
- new editions
- translation

Books can be funded regardless of whether they result from SNSF funded research.
Book Processing Charges (BPC)

Eligible costs

- Costs related to the production of the **first digital copy**:
  Quality control incl. peer review; editing and/or proofreading of the manuscript; typesetting, layout and cover, image processing and image reproduction rights; marketing and distribution; provision of the conventional, digital and open access specific metadata; publication of the OA book on the publisher’s website.

- **Modular funding:**
  - Basic module: CHF 15’000
  - Supplementary module (SM) higher number of characters: CHF 3’000 bzw. 5’000
  - SM Layout and image rights: CHF 5’000
  - SM Foreign language proofreading: CHF 5’000
  - SM Enriched E-Book: CHF 5’000
Book Processing Charges (BPC)

Peer Review

- The peer review process lies within the responsibility of the publisher.
- At least one independent, external and meaningful peer review must be submitted to the SNSF in written form.
- The peer review procedure must be based on the entire manuscript.
- In the case of edited volumes, the peer review must assess both the overall concept of the volume and each individual contribution.
- The peer review procedure must be documented by the publisher in a statement.
Book Processing Charges (BPC)

**Meta data**

- The funded book publications must appear under a **Creative Commons licence**. The minimum requirement is the CC BY-NC-ND licence (creative commons: created by, non commercial, no derivative). The SNSF recommends the CC-BY licence.
- All publications funded with BPC are given a **DOI number** (Digital Object Identifier) by the publisher.
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